

## Message Text

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PASS SCI FOR GRANGER, NSF FOR DREW, LYON

E.O. 11652: N/A

TAGS: TPHY, OECD

SUBJECT: OECD/CST: NATIONAL SCIENCE POLICY MECHANISMS

REF: A. STATE 153794 B. TELECON LYON-WAIT

1. SUMMARY. MISSION HAS FURTHER DISCUSSED NSF/STPO PROJECT WITH HETMAN, OECD SCIENCE DIRECTORATE. HE IS PREPARED TO UNDERTAKE PREPARATION DRAFT REPORT FOR EARLY SEPTEMBER DEADLINE, AND HAS PRESENTED OUTLINE GIVEN BELOW. HETMAN WOULD APPRECIATE BRIEF DISCUSSION THIS OUTLINE, TIMETABLE, AND COST OF STUDY, WITH LYON, NSF/STPO, EARLY MORNING OF LATTER'S AUG 9 VISIT TO OECD. END SUMMARY.

2. HETMAN AGREES TO PREPARE REPORT ALONG LINES REF (A), COLLATING OECD MATERIAL WITH RELEVANT PORTIONS OF OTHER AVAILABLE STUDIES, UPDATING AS APPROPRIATE. STUDY WILL BE IN TWO PARTS: RELATIVELY SHORT GENERAL INTRODUCTORY SUMMARIES, COVERING POINTS 1-4 BELOW, AND MAIN PART COVERING POINTS 5-7; IT IS OUR UNDERSTANDING THAT AT LEAST POINTS 5-7 WOULD BE COVERED IN COUNTRY CHAPTERS, WHICH WOULD AS REQUESTED BE IN FORMAT TO FACILITATE INTERNATIONAL COMPARISON, WITH PARTICULAR ATTENTION TO HIGH-LEVEL GOVERNMENT STRUCTURE PER REF (A), PARA 2. CONCLUDING OVERVIEW CHAPTER WOULD BE DERIVED FROM FOREGOING.

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EM HETMAN WOULD APPRECIATE ABOUT HALF-HOUR AUG 9 WITH LYON (PRIOR LATTER'S MEETING WITH OSHIMA) TO FINALIZE DETAILS OF STUDY AND AGREE ON TIME-TABLE AND COST.

4. DETAILS OF OUTLINE:

"1.- GENERAL REQUIREMENTS FOR COMPARATIVE STUDY OF SCIENCE POLICY FORMATS. DEFINITION, DELINEATION, ROLE AND PLACE OF SCIENCE POLICY IN GENERAL POLICY.  
2. - BRIEF SUMMARY OF THEORETICAL REPRESENTATION OF SCIENCE POLICY FORMATS.  
3. - CONTRIBUTION OF R & D STATISTICS TO UNDERSTANDING OF STRUCTURAL DIFFERENCES AMONG COUNTRIES.  
4. - INFORMATION DERIVED FROM STATISTICAL ANALYSIS OF TRENDS IN "OBJECTIVES" OF GOVERNMENT R& D FUNDING.  
5. - SYSTEMIC APPROACH TO FUNCTIONS OF SCIENCE POLICY AS DERIVED FROM ANALYSIS OF NATIONAL SCIENCE POLICIES (COUNTRY REVIEWS). SCIENCE POLICY SYSTEM AS A TRI-MATRIX: INSTITUTIONS/FUNCTIONS, INSTITUTIONS/OBJECTIVES, INSTITUTIONS/MEANS.  
6. - BRIEF DESCRIPTION OF SEVEN FUNCTIONS: I) DECISION AND STRATEGY, II) CONCEPTUAL AND ADVISORY FUNCTION, III) CO-ORDINATION, IV) OPERATIONAL RESPONSIBILITY, V) PROMOTION, VI) EXECUTION, VII) LEGISLATIVE CONTROL.  
7. - TYPOLOGY OF INSTITUTIONAL FORMATS AS DERIVED FROM ANALYSIS OF NATIONAL EXPERIENCE IN OECD AND OTHER COUNTRIES (USSR, EASTERN COUNTRIES, CHINA) WITH MOST CHARACTERISTIC EXAMPLES: I) STIMULATION OF FAVOURABLE CONTEXT, II) SECTORAL PROMOTION, III) PLURALISTIC INCENTIVES, IV) DECENTRALISED INTERVENTIONISM, V) SYMBIOTIC SUBORDINATION TO NATIONAL OBJECTIVES, VI) CENTRALISED INTERVENTIONISM, VII) AUTHORITARIAN PLANNING, VIII) AUTHORITARIAN CENTRALISM."

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